BOND SCHOENECK & KING ATTORNEYS

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Profile

Dori is a business attorney who concentrates her practice in representing public and private companies in domestic and cross-border transactions, including mergers and acquisitions, intellectual property licensing, joint ventures, divestitures, recapitalizations, secured and unsecured financing, private equity investments, private placements, public securities filings, commercial contracts, corporate governance matters, and associated regulatory compliance matters.

She has managed all phases of corporate transactions, including term sheets and letters of intent, merger agreements, stock purchase agreements, asset purchase agreements, intellectual property license agreements, purchase and license agreements, SAAS agreements, software license agreements, cloud storage service level agreements, software consulting services agreements, software enterprise operator agreements, information technology service agreements, patent assignments, trademark assignments, commercial contracts, vendor agreements, assignment and assumption agreements, professional services agreements, confidentiality and non-competition agreements, consulting agreements, employment contracts, separation agreements, settlement agreements, convertible and non-convertible promissory notes, subordinated promissory notes, credit agreements, security agreements, guaranty agreements, lease agreements, escrow agreements, stockholders agreements, recapitalization agreements, joint venture agreements, redemption agreements, registration statements, private placement memoranda, information statements, and corporate governance documents.

Dori has handled significant transactions in the software, information technology, financial services, communications, manufacturing, hospitality, aviation, automotive, and defense industries. She has particular experience in banking law and regulation, and has published and presented articles on banking and other legal and regulatory issues. Dori previously practiced with Latham & Watkins, LLP in Washington, D.C. where she represented clients in all facets of corporate transactional matters.

An Adjunct Professor of Law at Syracuse University College of Law, Dori teaches courses on banking law and business valuation law.

Honors & Affiliations

Education

- Cornell Law School (J.D. 1999)
- State University of New York at Albany (B.S., *magna cum laude*)

Bar/Court Admissions

- New York
- Maryland
- District of Columbia

Practices

- Financial Institutions Regulatory
- Business and Transactions
- Mergers and Acquisitions
- Commercial Lending
- Cybersecurity and Data Privacy

- Recipient of the 2015 American College of Consumer Financial Services Lawyers Annual Writing Competition Award for A Defense of the Doctrine of Preemption: Revealing the Fallacy that Federal Preemption Contributed to the Financial Crisis, 16 *U. Pa. J. Const. L.* 1041-1107, 2014
- Research Editor, *Cornell International Law Journal*, 1998-1999

Representative Presentations

- Dealing with a Contentious Examination: Do's and Don'ts from the Frontline, New York Credit Union Association, Compliance & Legal Conference, September 23, 2022
- The Three C's of Minority-Owned and Women-Owned Business Enterprises: Control, Certification, and the Constitution, Central New York Women's Bar Association Annual Meeting, April 28, 2016
- The *New York Times* and Credit Rating Agencies: Indistinguishable Under First Amendment Jurisprudence, Syracuse University College of Law Faculty Workshop Series, September 10, 2015
- Commenter, A Structural Case for Prosecutorial Declination, Syracuse University College of Law Faculty Incubator Workshop, April 23, 2015
- A Defense of the Doctrine of Preemption: Revealing the Fallacy that Federal Preemption Contributed to the Financial Crisis, American College of Consumer Financial Services Lawyers Annual Meeting, April 18, 2015
- Speaker/Panelist, Innovation Today: The Legal Challenges of Funding Startups, Boston University School of Law, Review of Banking and Financial Law Symposium, February 27, 2015

Representative Publications

- "Setting the Stage for High Court BofA Escrow Interest Case," *Law360,* Feb. 26, 2024
- "The *New York Times* and Credit Rating Agencies: Indistinguishable Under First Amendment Jurisprudence," 93 *Denv. U. L. Rev.* 275, 2016
- "Preemption Principles: Weighing the Impact of Dodd-Frank," 34 No. 7 *Banking & Fin. Services Pol'y Rep.* 1, 2015
- "A Defense of the Doctrine of Preemption: Revealing the Fallacy that Federal Preemption Contributed to the Financial Crisis," 16 *U. Pa. J. Const. L.* 1041-1107, 2014

Other Activities

- CNY Arts, Inc., Board Member
- Fayetteville-Manlius Little League, Assistant Coach
- Girl Scouts of the United States of America, Troop Leader